

1123.40972X00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicants: J. KOENIG, et al

Serial No.: 10/014,406

Filing Date: December 14, 2001

For: LOCALLY DISTRIBUTED SPEECH RECOGNITION SYSTEM AND  
METHOD OF ITS OPERATION

Group: Not assigned

Examiner: Not assigned

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §1.97 & 1.98**

February 7, 2002

Assistant Commissioner  
for Patents  
Washington, D.C. 20231

Sir:

In the matter of the above-identified application, applicants are submitting herewith a copy of a communication from a foreign patent office in a counterpart foreign application and copies of the documents listed in the attached form equivalent to Form PTO-1449 for the Examiner's consideration.

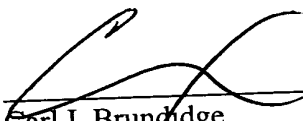
This information disclosure statement is being submitted within three months of the filing date.

To the extent the documents listed on the attached form equivalent to Form PTO-1449 are not in the English language, the requirement of 37 CFR §1.98(a)(3) for a concise explanation of the relevance is satisfied by an English language version or translation of the foreign patent office report citing the documents.

It is respectfully requested that this information disclosure statement be considered by the Examiner.

Please charge any shortage in the fees due in connection with the filing of this paper, including extension of time fees, to the deposit account of Antonelli, Terry, Stout & Kraus Deposit Account No. 01-2135 (1123.40972X00) please credit any excess fees to such deposit account.

Respectfully submitted,

  
\_\_\_\_\_  
Carl I. Brundage  
Registration No. 29,621  
ANTONELLI, TERRY, STOUT & KRAUS, LLP

CIB/jdc  
(703) 312-6600

FORM PTO-1449 U.S. Department of Commerce  
(Rev. 4/92) Patent and Trademark Office

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use several sheets if necessary)

ATTY. DOCKET NO.

1123.40972X00

SERIAL NO.

10/014,406

APPLICANT

J. KOENIG, et al

FILING DATE

December 14, 2001

GROUP

Not assigned

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5 5 4 6 5 3 8	8/96	Cobbley et al			
	5 9 5 6 6 8 3	9/99	Jacobs et al			

**FOREIGN PATENT DOCUMENTS**

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	ABSTRACT
					YES NO
0 6 7 1 7 2 1	9/95	European			
0 0 2 2 6 0 9	4/00	PCT			
9 9 4 0 5 6 8	8/99	PCT			XX

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

	Speech Recognition for Telephone Access Systems, IBM Technical Disclosure Bulletin, US, IBM Corp., vol. 33, No. 12, May 1, 1991, pp. 106-109.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation is considered, draw line through citation if not in conformance and not considered.  
Include copy of this form with next communication to applicant.

